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# A FOURIER MULTIPLIER THEOREM ON THE BESOV-LIPSCHITZ SPACES

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ABSTRACT. We consider Fourier multiplier operators whose symbols satisfy a generalization of Hörmander's condition and establish their Sobolev-type mapping properties on the homogeneous Besov-Lipschitz spaces by making use of a continuous characterization of Besov-Lipschitz spaces. As an application, we derive Sobolev-type imbedding theorem.

## 1. Introduction

The purpose of this paper is to study Fourier multiplier operators whose symbols satisfy a generalization of Hörmander's condition on the homogeneous Besov-Lipschitz spaces. To be more specific, we shall deal with the operators  $T_{\alpha}$ , defined as  $(T_{\alpha}f)^{\hat{}} = m_{\alpha}\hat{f}$ , where the symbols  $m_{\alpha}$ satisfy the following condition:

Given a positive integer  $\ell$ ,  $m_{\alpha} \in C^{\ell}(\mathbb{R}^n \setminus \{0\})$  and

(1.1) 
$$\sup_{R>0} \left[ R^{-n+2\alpha+2|\sigma|} \int_{R<|\xi|<2R} \left| \partial_{\xi}^{\sigma} m_{\alpha}(\xi) \right|^2 d\xi \right] \le A_{\sigma} \quad (|\sigma| \le \ell) \,.$$

When  $\alpha = 0$ , it is known as the Hörmander condition (see [4], [8]). Typical examples are given by the symbols of singular integrals  $R_j$ . When  $\alpha \neq 0$ , a typical example is given by  $m_{\alpha}(\xi) = |\xi|^{-\alpha}$ , the symbol

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of the Riesz potential  $I_{\alpha}$  which satisfies the condition (1.1) for every positive integer  $\ell$ . Another example is the symbol of a differential operator  $\partial^{\sigma}$  of order  $|\sigma| = \alpha$  when  $\alpha > 0$ .

Let  $\widehat{O}$  denote the class of Schwartz function  $\varphi$  on  $\mathbb{R}^n$  such that its Fourier transform  $\widehat{\varphi}$  has support in  $\{1/2 \leq |\xi| \leq 2\}$  and  $|\widehat{\varphi}(\xi)| \geq c > 0$ for  $3/5 \leq |\xi| \leq 5/3$ . Given any  $\varphi \in \widehat{O}$ , we recall ([3], [7], [9]) that the homogeneous Besov-Lipschitz spaces  $\dot{B}_{p,r}^{\alpha}$  are the spaces of tempered distribution f on  $\mathbb{R}^n$ , modulo polynomials, such that the quasi-norms

(1.2) 
$$||f||_{\dot{B}^{\alpha}_{p,r}} = \left(\sum_{j \in \mathbb{Z}} \left(2^{j\alpha} ||f \ast \varphi_{2^{-j}}||_p\right)^r\right)^{1/r} \quad (\alpha \in \mathbb{R}, \ 0 < p, \ r \le \infty)$$

are finite.

Our principal result reads as

THEOREM 1.1. Given  $\alpha \in \mathbb{R}$ ,  $0 and <math>0 < r \le \infty$ , let  $\beta$  be any real with  $\beta < \alpha$  and let  $p_*$  be determined by

$$\beta - n/p_* = \alpha - n/p$$
  $(0 < p_* \le \infty)$ .

If  $m_{\alpha}$  satisfies the condition (1.1) with  $\ell > n(1/p + 1/2)$ , then

(1.3) 
$$\|T_{\alpha}f\|_{\dot{B}^{\beta}_{p*,r}} \leq C \|f\|_{\dot{B}^{0}_{p,r}}$$

As an application, upon taking  $m_{\alpha}(\xi) = |\xi|^{-\alpha}$  and using the fact  $\dot{B}_{p,r}^{\alpha} = I_{\alpha}(\dot{B}_{p,r}^{0})$ , we obtain the Sobolev imbedding result (see [2], [5], [6])

COROLLARY 1.1. Given reals  $\alpha > \beta$  and  $0 , <math>0 < r \le \infty$ , let  $0 < p_* \le \infty$  be determined from  $\beta - n/p_* = \alpha - n/p$ . Then

$$\dot{B}^{\alpha}_{p,r} \hookrightarrow \dot{B}^{\beta}_{p_{*},r}.$$

In what follows, the letter C will denote a positive constant which may differ in each occurrence and may depend on the parameters but not on the variable quantities involved. As usual, the Fourier transform of an integrable function  $\phi$  on  $\mathbb{R}^n$  will be defined as

$$\widehat{\phi}(\xi) = \int_{\mathbb{R}^n} e^{-i\xi \cdot x} \, \phi(x) \, dx \quad (\xi \in \mathbb{R}^n) \, .$$

#### 2. Preliminaries

The main ingredient in proving Theorem 1.1 is a continuous characterization of Besov-Lipschitz spaces. Established by Bui et. el. [1], it is given as

(2.1) 
$$\|f\|_{\dot{B}^{\alpha}_{p,r}} \approx \left(\int_0^\infty \left(t^{-\alpha} \|u^*_{\lambda}(\cdot,t)\|_p\right)^r \frac{dt}{t}\right)^{1/r} \quad (\lambda > n/p),$$

where  $u(x,t) = (f * \varphi_t)(x)$ ,  $\varphi \in \widehat{O}$  and  $u_{\lambda}^*$  denotes the Peetre maximal function of u defined by

$$u_{\lambda}^{*}(x,t) = \sup_{y \in \mathbb{R}^{n}} |u(y,t)| \left(1 + \frac{|y-x|}{t}\right)^{-\lambda}.$$

We now set up a few basic estimates that will be used later on. Let  $K_{\alpha}$  denote the distribution whose Fourier transform is  $m_{\alpha}$ .

LEMMA 2.1. Let  $\psi$ ,  $\zeta$  be Schwartz functions on  $\mathbb{R}^n$  such that  $\widehat{\psi}$ ,  $\widehat{\zeta}$  have compact support away from the origin. Assume that  $m_{\alpha}$  satisfies (1.1). If  $\lambda > 0$  and  $\ell > \lambda + n/2$ , then for t > 0,

$$\int_{\mathbb{R}^n} \left( 1 + \frac{|z|}{t} \right)^{\lambda} \left| (K_{\alpha} * \psi_t)(z) \right| \, dz \, \leq \, C \, t^{\alpha} \, .$$

*Proof.* Dilating the functions  $\widehat{\psi}, \widehat{\zeta}$  appropriately, we may assume both have support in  $\{1/2 \leq |\xi| \leq 2\}$ . We choose  $\mu$  so that  $\mu > n/2$  and  $\lambda + \mu \leq \ell$ . By the Cauchy-Schwartz inequality,

$$\left[ \int_{\mathbb{R}^n} \left( 1 + \frac{|z|}{t} \right)^{\lambda} \left| (K_{\alpha} * \psi_t)(z) \right| dz \right]^2$$

$$\leq \int_{\mathbb{R}^n} \left( 1 + \frac{|z|}{t} \right)^{-2\mu} dz \int_{\mathbb{R}^n} \left( 1 + \frac{|z|}{t} \right)^{2(\lambda+\mu)} \left| (K_{\alpha} * \psi_t)(z) \right|^2 dz$$

$$\leq C t^n \int_{\mathbb{R}^n} \left( 1 + \frac{|z|}{t} \right)^{2\ell} \left| (K_{\alpha} * \psi_t)(z) \right|^2 dz$$

$$(2.2) \qquad = C t^{2n} \int_{\mathbb{R}^n} (1 + |z|)^{2\ell} \left| (K_{\alpha} * \psi_t)(tz) \right|^2 dz.$$

Applying the binomial theorem and the Plancherel theorem, the integral in (2.2) is easily seen to be bounded by

$$t^{-2n} \sum_{|\sigma| \le \ell} C_{\sigma} \int_{\mathbb{R}^n} \left| \partial_{\xi}^{\sigma} \left[ m_{\alpha} \left( \frac{\xi}{t} \right) \widehat{\psi}(\xi) \right] \right|^2 d\xi$$
  
$$\le C t^{-2n} \sum_{|\sigma| \le \ell} t^{n-2|\sigma|} \int_{1/t \le |\xi| \le 2/t} \left| \left( \partial_{\xi}^{\sigma} m_{\alpha} \right) (\xi) \right|^2 d\xi$$
  
$$\le C t^{-2n+2\alpha},$$

where the last inequality is due to the hypothesis (1.1) on  $m_{\alpha}$ . Inserting this estimate into (2.2), we obtain the desired estimate.

LEMMA 2.2. Given  $\alpha \in \mathbb{R}$  and a positive integer  $\ell$ , assume that  $m_{\alpha}$  satisfies the condition (1.1). Let  $\varphi, \psi \in \widehat{O}$ . For a tempered distribution f on  $\mathbb{R}^n$ , set  $u(x,t) = (f * \varphi_t)(x)$ . If  $\ell > \lambda + n/2$  and  $\Phi = \varphi * \psi$ , then for all  $x, y \in \mathbb{R}^n$ , t > 0,

$$\left| \left( T_{\alpha}f \ast \Phi_{t} \right)(y) \right| \leq C t^{\alpha} \left( 1 + \frac{|y-x|}{t} \right)^{\lambda} u_{\lambda}^{\ast}(x,t) \quad (\lambda > 0) \, .$$

*Proof.* In view of the representation

$$(T_{\alpha}f * \Phi_t)(y) = \int_{\mathbb{R}^n} u(y-z)(K_{\alpha} * \psi_t)(z) \, dz \,,$$

the estimate is an immediate consequence of Lemma 2.1.

## 3. Proof of Theorem 1.1

*Proof.* Choose  $\varphi, \psi \in \widehat{O}$ . Let  $\Phi = \varphi * \psi$  and

$$u(x,t) = (f * \varphi_t)(x), \quad U(x,t) = (T_\alpha f * \Phi_t)(x).$$

It follows easily from the estimate of Lemma 2.2 that

(3.1) 
$$U_{\lambda}^{*}(x,t) \leq C t^{\alpha} u_{\lambda}^{*}(x,t), \quad U_{\lambda}^{*}(x,t) \leq C t^{\alpha-n/p} \|u_{\lambda}^{*}(\cdot,t)\|_{p}.$$

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Fix t > 0. Normalizing if necessary, we may assume C = 1 in both estimates of (3.1). With  $A = \|u_{\lambda}^{*}(\cdot, t)\|_{p}$ , it follows that for any q > p,

$$\begin{split} \int_{\mathbb{R}^n} \left[ U_{\lambda}^*(x,t) \right]^q \, dx &= q \int_0^{A t^{\alpha - n/p}} \left| \left\{ U_{\lambda}^*(\cdot,t) > s \right\} \right| \, s^{q-1} \, ds \\ &\leq C \, t^{\alpha q} \int_0^{A t^{-n/p}} \left| \left\{ u_{\lambda}^*(\cdot,t) > s \right\} \right| \, s^{q-1} \, ds \\ &\leq C \, t^{\alpha q} \, \left\| u_{\lambda}^*(\cdot,t) \right\|_p^p \int_0^{A t^{-n/p}} s^{q-p-1} \, ds \\ &= C \, t^{q(\alpha - n/p + n/q)} \, \left\| u_{\lambda}^*(\cdot,t) \right\|_p^q \,, \end{split}$$

where the second inequality follows from Chebychev's inequality. Thus

(3.2) 
$$t^{-(\alpha - n/p + n/q)} \|U_{\lambda}^{*}(\cdot, t)\|_{q} \leq C \|u_{\lambda}^{*}(\cdot, t)\|_{p} .$$

Upon setting  $\beta = \alpha - n/p + n/q$ ,  $q = p_*$ , (3.2) gives

$$\int_0^\infty \left( t^{-\beta} \left\| U_{\lambda}^*(\cdot,t) \right\|_{p_*} \right)^r \frac{dt}{t} \le \int_0^\infty \left( \left\| u_{\lambda}^*(\cdot,t) \right\|_p \right)^r \frac{dt}{t} \,,$$

which yields the desired result in view of (2.1).

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