

GENERALIZED BOUNDED ANALYTIC FUNCTIONS IN THE SPACE $H_{\omega,p}$

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ABSTRACT. We define a general space $H_{\omega,p}$ of the Hardy space and improve that Aleman's results to the space $H_{\omega,p}$. It follows that the multiplication operator on this space is cellular indecomposable and that each invariant subspace contains nontrivial bounded functions.

1. Introduction

For a positive integrable function $\omega \in C^2[0, 1)$, we define the space $H_{\omega,p}$ of analytic functions f in the unit disc U that satisfies

$$(1.1) \quad \|f\|_{\omega,p}^p = |f(0)|^p + p^2 \int_U |f(z)|^{p-2} |f'(z)|^2 \omega(|z|) dm < \infty,$$

where m is the area measure on C . Some simple computations with power series show that if $f(z) = \sum_{n \geq 0} a_n z^n$ is analytic in U , then

$$(1.2) \quad \|f\|_{\omega,p}^p = \sum_{n \geq 0} |a_n|^p \omega_n,$$

where $\omega_0 = 1$ and for $n \geq 1$,

$$(1.3) \quad \omega_n = 2\pi n^p \int_0^1 r^{pn-p+1} \omega(r) dr.$$

If $p = 2$, Aleman([1]) proved that every function in $H_{\omega,2}$ is the quotient of two bounded analytic functions in $H_{\omega,2}$. In this paper, by the similar proofs, we shall prove that every function in $H_{\omega,p}$ is the quotient of two bounded analytic functions in $H_{\omega,p}$ for $p \geq 2$. This result which is proved

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in section 2 has some applications concerning the invariant subspaces of the multiplication operator defined on $H_{\omega,p}$ by

$$(1.4) \quad (M_z f)(\zeta) = \zeta f(\zeta), \quad \zeta \in U, \quad f \in H_{\omega,p}.$$

Using (1.1) and (1.2), it follows easily that M_z is a bounded weighted shift on $H_{\omega,p}$. From the result mentioned above it turns out that every nontrivial invariant subspace of M_z contains a nontrivial bounded function, that each two nontrivial invariant subspaces have a nontrivial intersection and that each nontrivial invariant subspace has the codimension one property. For the usual Dirichlet space D , this was proved by S.Richter and A.Shields in [4]. These results provide positive answers to the corresponding questions for the space D_α [4, Conjectures 1 and 2] and are proved in section 3. The method used for the proofs implies the following cyclicity theorem for the spaces $H_{\omega,p}$, related to Question 3 in [2]. A function whose modulus is greater or equal to the modulus of a cyclic vector for M_z must also be a cyclic vector.

2. Bounded Functions in $H_{\omega,p}$

We begin with a general change of variable formula that is used in order to obtain an equivalent form of the norm on $H_{\omega,p}$.

LEMMA 2.1. ([1]) *Let ϕ be a nonconstant analytic function in U and u, v be nonnegative measurable functions on C with respect to area measure. Then*

$$(2.1) \quad \int_U (u \circ \phi) v |\phi'|^2 dm = \int_{\phi(U)} u(\zeta) \left(\sum_{\phi(z)=\zeta} v(z) \right) dm(\zeta).$$

This result is actually known and was proved for $v(z) = -\log|z|$ in [5].

For a nonconstant analytic function f in U , $\zeta \in f(U)$ and u a nonnegative measurable function on $[0, 1)$, we denote

$$(2.2) \quad N_{u,f}(\zeta) = \sum_{f(z)=\zeta} u(|z|).$$

In the special case when $u(r) = u_0(r) = \log 1/r$, $r \in [0, 1)$, (2.2) gives the usual Nevanlinna counting function of f and we denote $N_{u_0,f} = N_f$. Substituting that $u(\zeta) = |\zeta|^{p-2}$, $v(z) = \omega(|z|)$, and $\phi(z) = f(z)$, we obtain

the following Corollary.

COROLLARY 2.2. *If $f \in H_{\omega,p}$ is nonconstant, then*

$$\begin{aligned} \|f\|_{\omega,p}^p &= |f(0)|^p + p^2 \int_{f(U)} |\zeta|^{p-2} \left(\sum_{f(z)=\zeta} \omega(|z|) \right) dm(\zeta). \\ (2.3) \quad &= |f(0)|^p + p^2 \int_{f(U)} |\zeta|^{p-2} N_{\omega,f}(\zeta) dm(\zeta). \end{aligned}$$

LEMMA 2.3. *([1]) Let f be nonconstant analytic function in U and for $z, \lambda \in U$ and let $\varphi_z(\lambda) = (z + \lambda)/(1 + \bar{z}\lambda)$. Then for every $\zeta \in f(U)$*

$$(2.4) \quad N_{\omega,f}(\zeta) = -\frac{1}{2\pi} \int_U \Delta \bar{\omega}(z) N_{f \circ \varphi_z}(\zeta) dm(z),$$

where $\bar{\omega}$ is defined on U by $\bar{\omega}(z) = \omega(|z|)$ and Δ denotes the Laplace operator.

From the fact that $H_{\omega,p}$ is contained in H^2 , it follows that each function $f \in H_{\omega,p}$ has nontangential limits $f(e^{i\theta})$ a.e. on $[0, 2\pi]$ and that its boundary function is in $L^2[0, 2\pi]$. For $z \in U$, let $P_z(\theta) = \operatorname{Re}(e^{i\theta} + z)/(e^{i\theta} - z)$, be the Poisson kernel.

PROPOSITION 2.4. *Let $f \in H_{\omega,p}$, then*

$$(2.5) \quad \|f\|_{\omega,p}^p - |f(0)|^p = - \int_U \Delta \bar{\omega}(z) \left(\frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f(e^{i\theta})|^p d\theta - |f(z)|^p \right) dm(z).$$

Proof. For a nonconstant $f \in H_{\omega,p}$, we have

$$\begin{aligned} \|f\|_{\omega,p}^p - |f(0)|^p &= p^2 \int_{f(U)} |\zeta|^{p-2} \left(-\frac{1}{2\pi} \int_U \Delta \bar{\omega}(z) N_{f \circ \varphi_z}(\zeta) dm(z) \right) dm(\zeta) \\ (2.6) \quad &= - \int_U \Delta \bar{\omega}(z) \left(\frac{p^2}{2\pi} \int_{f(U)} |\zeta|^{p-2} N_{f \circ \varphi_z}(\zeta) dm(\zeta) \right) dm(z), \end{aligned}$$

by Lemma 2.3 and Fubini's theorem. Letting $\nu(\lambda) = \log 1/|\lambda|$, $u(\zeta) = |\zeta|^{p-2}$, $\phi = f \circ \varphi_z$ in (2.1), the Littlewood-Paley formula gives

$$\frac{p^2}{2\pi} \int_{f(U)} |\zeta|^{p-2} N_{f \circ \varphi_z}(\zeta) dm(\zeta) = \frac{p^2}{2\pi} \int_{f(U)} |\zeta|^{p-2} \left(\sum_{f \circ \varphi_z(\lambda)=\zeta} \log \frac{1}{|\lambda|} \right) dm(\zeta)$$

$$\begin{aligned}
&= \frac{p^2}{2\pi} \int_U |(f \circ \varphi_z)|^{p-2} |(f \circ \varphi_z)'|^2 \log \frac{1}{|\lambda|} dm \\
(2.7) \quad &= \|f \circ \varphi_z\|_{H^p}^p - |f \circ \varphi_z(0)|^p.
\end{aligned}$$

Obviously $f \circ \varphi_z(0) = f(z)$ and by elementary computations with harmonic measures for the unit disk, we obtain

$$\begin{aligned}
\|f \circ \varphi_z\|_{H^p}^p - |f \circ \varphi_z(0)|^p &= \frac{1}{2\pi} \int_0^{2\pi} |f \circ \varphi_z(e^{i\theta})|^p d\theta - |f(z)|^p \\
(2.8) \quad &= \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f(e^{i\theta})|^p d\theta - |f(z)|^p,
\end{aligned}$$

and the proof is complete. □

By the similar proofs of [1, Corollary 2.5], we obtain the following.

COROLLARY 2.5. *If $f \in H_{\omega,p}$ and F is its outer factor, then $F \in H_{\omega,p}$ and*

$$(2.9) \quad \|F\|_{\omega,p}^p - |F(0)|^p \leq \|f\|_{\omega,p}^p - |f(0)|^p.$$

For a function f in the Nevanlinna class N , $f \neq 0$, we denote by ϕ_f the outer function satisfying $|\phi_f(e^{i\theta})| = \min\{1, 1/|f(e^{i\theta})|\}$ a.e. on $[0, 2\pi]$; that is

$$(2.10) \quad \phi_f(z) = \exp \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} \log \min\{1, 1/|f(e^{i\theta})|\} d\theta.$$

Our main result is

THEOREM 2.6. *Let $f \in H_{\omega,p}$, $f \neq 0$. Then $\phi_f, f\phi_f$ are in $H_{\omega,p}$ and satisfy*

$$(2.11) \quad \|\phi_f\|_{\omega,p}^p - |\phi_f(0)|^p \leq \|f\|_{\omega,p}^p - |f(0)|^p$$

and

$$(2.12) \quad \|f\phi_f\|_{\omega,p} \leq \|f\|_{\omega,p}.$$

The proof uses the following inequalities:

LEMMA 2.7. ([1]) Let (X, μ) be a probability space and $f \in L^1(\mu)$ such that $f > 0$ μ -a.e. on X and $\log f \in L^1(\mu)$. Let

$$(2.13) \quad E(f) = \int_X f d\mu - \exp \int_X \log f d\mu.$$

Then

$$(2.14) \quad E(\min\{1, f\}) \leq E(f),$$

and

$$(2.15) \quad E(\max\{1, f\}) \leq E(f),$$

Proof of Theorem 2.6. Let $f \in H_{\omega,p}$, $f = IF$ with I inner and F outer. An application of (2.14) with $X = [0, 2\pi]$, $d\mu = (1/2\pi)P_z d\theta$, yields

$$\begin{aligned} & \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f\phi_f(e^{i\theta})|^p d\theta - |F\phi_f(z)|^p \\ &= \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |F\phi_f(e^{i\theta})|^p d\theta - |F\phi_f(z)|^p \\ &= \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) \min\{1, |F(e^{i\theta})|^p\} d\theta \\ & \quad - \exp \left(\frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) \log \min\{1, |F(e^{i\theta})|^p\} d\theta \right) \\ &= E(\min\{1, |F|^p\}) \\ &\leq \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |F(e^{i\theta})|^p d\theta - \exp \left(\frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) \log |F(e^{i\theta})|^p d\theta \right) \\ &= \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |F(e^{i\theta})|^p d\theta - |F(z)|^p. \end{aligned}$$

Hence

$$\begin{aligned} &= \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f\phi_f(e^{i\theta})|^p d\theta - \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |F(e^{i\theta})|^p d\theta \\ &\leq |F\phi_f(z)|^p - |F(z)|^p = -|F(z)|^p (1 - |\phi_f(z)|^p) \\ (2.16) \quad &\leq -|I(z)F(z)|^p (1 - |\phi_f(z)|^p) = |f\phi_f(z)|^p - |f(z)|^p. \end{aligned}$$

Therefore

$$(2.17) \quad \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f\phi_f(e^{i\theta})|^p d\theta - |f\phi_f(z)|^p \leq \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f(e^{i\theta})|^p d\theta - |f(z)|^p,$$

and the inequality in (2.12) follows by Proposition 2.4. Furthermore, we have

$$\left| \frac{1}{\phi_f(e^{i\theta})} \right| = \max\{1, |f(e^{i\theta})|\} \text{ a.e. on } [0, 2\pi]$$

and for $z \in U$,

$$(2.18) \quad \left| \frac{1}{\phi_f(e^{i\theta})} \right|^p = \exp \left(\frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) \log \max\{1, |f(e^{i\theta})|\}^p d\theta \right).$$

We apply (2.15) to obtain

$$(2.19) \quad \begin{aligned} & \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) \left| \frac{1}{\phi_f(e^{i\theta})} \right|^p d\theta - \left| \frac{1}{\phi_f(z)} \right|^p = E(\max\{1, |f|^p\}) \leq E(|f|^p) \\ & \leq \frac{1}{2\pi} \int_0^{2\pi} P_z(\theta) |f(e^{i\theta})|^p d\theta - |f(z)|^p, \end{aligned}$$

for all $z \in U$. Thus by Proposition 2.4, $1/\phi_f \in H_{\omega,p}$ and

$$(2.20) \quad \left\| \frac{1}{\phi_f} \right\|_{\omega,p}^p - \left| \frac{1}{\phi_f(0)} \right|^p \leq \|f\|_{\omega,p}^p - |f(0)|^p.$$

Finally, since $\phi'_f = -\phi_f^2(1/\phi_f)'$ and $|\phi_f| \leq 1$ in U , the definition (1.1) implies that

$$(2.21) \quad \begin{aligned} \left\| \frac{1}{\phi_f} \right\|_{\omega,p}^p - \left| \frac{1}{\phi_f(0)} \right|^p &= p^2 \int_U \left| \frac{1}{\phi_f(z)} \right|^{p-2} \left| \left(\frac{1}{\phi_f(z)} \right)' \right|^2 \omega(|z|) dm \\ &\geq p^2 \int_U |\phi_f(z)|^{p-2} |\phi'_f|^2 \omega(|z|) dm \\ &= \|\phi_f\|_{\omega,p}^p - |\phi_f(0)|^p \end{aligned}$$

for $p \geq 2$. Hence we obtain

$$\|\phi_f\|_{\omega,p}^p - |\phi_f(0)|^p \leq \|f\|_{\omega,p}^p - |f(0)|^p,$$

and the proof is complete.

COROLLARY 2.8. *If $p \geq 2$, then every function in $H_{\omega,p}$ is the quotient of two bounded functions in $H_{\omega,p}$.*

Proof. Since $|\phi_f|, |f\phi_f| \leq 1$ in U and $f = f\phi_f/\phi_f$, we have the result. $\square$

3. Invariant subspaces

The present section contains some applications of Theorem 2.6 concerning the invariant subspaces of the multiplication operator on $H_{\omega,p}$ defined by (1.4). A closed subspace M of $H_{\omega,p}$ is called invariant if $M_z M \subset M$. For a function $f \in H_{\omega,p}$ we denote by $[f]$ the smallest invariant subspace containing f ; that is the closure of the polynomial multiplies of f in $H_{\omega,p}$. In order to prove the main result of this section we use the same method as in [4]. Let H^∞ be the algebra of bounded analytic functions in U with the norm $\|g\|_\infty = \sup_{z \in U} |g(z)|$, $g \in H^\infty$. We have

LEMMA 3.1. *If $f \in H_{\omega,p}$ and $g \in H^\infty$ such that $gf \in H_{\omega,p}$, then $gf \in [f]$.*

The proof of the lemma is based on some simple properties of the linear operators T_i , $0 \leq t < 1$, defined on the set $H(U)$ of analytic functions in U by

$$(3.1) \quad (T_i h)(z) = \frac{1}{1-t} \int_t^1 h(sz) ds \quad z \in U, \quad h \in H(U).$$

By the theorem of L'hospital, we have

$$\lim_{t \rightarrow 1} (T_i h)(z) = \lim_{t \rightarrow 1} \frac{\int_1^t h(sz) ds}{t-1} = \lim_{t \rightarrow 1} h(tz) = h(z)$$

for all $z \in U$ and $h \in H(U)$. Some other properties are summarized below. For $h \in H(U)$, and $t \in [0, 1)$, we denote by h_t the function given by $h_t(z) = h(tz)$, $z \in U$.

LEMMA 3.2. *For every $t \in [0, 1)$, we have*

$$(i) (M_z T_t h)' = \frac{h - th_t}{1-t}, \quad h \in H(U).$$

(ii) *If $h \in H_{\omega,p}$, then $T_t h \in H_{\omega,p}$ and $\|T_t h\|_{\omega,p} \leq \|h\|_{\omega,p}$.*

(iii) *If $h \in H^\infty$, then $T_t h \in H_{\omega,p}$ and $f T_t h \in H_{\omega,p}$ whenever $f \in H_{\omega,p}$. Furthermore in this case, $f T_t h \in [f]$.*

Proof. (i) For every $\zeta \in U$ and $h \in H(U)$,

$$(3.2) \quad (M_z T_t h)(\zeta) = \zeta (T_t h)(\zeta) = \zeta \frac{1}{1-t} \int_t^1 h(s\zeta) ds = \frac{1}{1-t} \int_{t\zeta}^\zeta h(\lambda) d\lambda.$$

Hence

$$\begin{aligned} (M_z T_t h)'(\zeta) &= \left[\frac{1}{1-t} \int_0^\zeta h(\lambda) d\lambda - \frac{1}{1-t} \int_0^{t\zeta} h(\lambda) d\lambda \right]' \\ &= \frac{1}{1-t} [h(\zeta) - t h_t(\zeta)] \end{aligned}$$

(ii) If $h(z) = \sum_{n \geq 0} a_n z^n$, $z \in U$, then

$$(3.3) \quad (T_t h)(z) = \sum_{n \geq 0} \left(\frac{1-t^{n+1}}{1-t} \right) \frac{a_n}{n+1} z^n, \quad z \in U,$$

which shows that $T_t h \in H_{\omega,p}$ whenever $h \in H_{\omega,p}$ and $\|T_t h\|_{\omega,p} \leq \|h\|_{\omega,p}$.

(iii) From (i) we obtain that if $h \in H^\infty$ then $T_t h$ and $(T_t h)'$ are both in

H^∞ , hence $T_t h \in H_{\omega,p}$ and also a multiplier. Moreover, there exists a sequence of polynomials $\{p_n\}$ with $\sup_n \|p'_n\|_\infty < \infty$, converging pointwise to $T_t h$ on U . It follows that $\sup_n \|p_n f\|_\omega < \infty$, and that at least a subsequence of $\{p_n f\}$ converges weakly in $H_{\omega,p}$. Also, its limit must be $f T_t h$ because the point evaluations are bounded linear functionals on $H_{\omega,p}$. Thus, $f T_t h \in [f]$. $\square$

Proof of Lemma 3.1. Let f, g be as in the statement. For every $t \in [0, 1)$ we have $f T_t g \in [f]$ by Lemma 3.2 and $\lim_{t \rightarrow 1} (f T_t g)(z) = f(z)g(z)$ for all $z \in U$. We are going to show that the norms $\|f T_t g\|_{\omega,p}$ remain bounded when t tends to 1. Note first that by the definition of $H_{\omega,p}$, it follows easily that the operator M_z is injective and has closed range on $H_{\omega,p}$, hence there exists a positive constant c such that $\|f T_t g\| \leq c \|M_z f T_t g\|_{\omega,p}$, $t \in [0, 1)$. Further,

$$\begin{aligned} (3.4) \quad \|M_z f T_t g\|_{\omega,p}^p &\leq 2 \int_U |f(M_z T_t g)'|^p \omega \, dm + 2 \int_U |f' M_z T_t g|^p \omega \, dm \\ &\leq 2 \int_U |f(M_z T_t g)'|^p \omega \, dm + 2 \|g\|_\infty^p \|f\|_\omega^p, \end{aligned}$$

and by Lemma 3.2(i),

$$\begin{aligned}
 (3.5) \quad |f(M_z T_t g)'| &= \left| f \frac{g - t g_t}{1 - t} \right| = \left| \frac{f g - t f_t g - t - g_t f + g_t t f_t + f g_t (1 - t)}{1 - t} \right| \\
 &\leq \left| \frac{f g - t f_t g_t}{1 - t} \right| + \left| g_t \frac{f - t f_t}{1 - t} \right| + |f g_t| \\
 &= |(M_z T_t f g)'| + |g_t (M_z T_t f)'| + |f g_t|.
 \end{aligned}$$

We obtain

$$\begin{aligned}
 (3.6) \quad \int_U |f(M_z T_t g)'|^p \omega \, dm &\leq 3 \|M_z T_t f g\|_{\omega,p}^p + 3 \|g\|_{\omega,p}^p \|M_z T_t f\|_{\omega,p}^p \\
 &\quad + 3 \|g\|_{\omega,p}^p \int_U |f|^p \omega \, dm.
 \end{aligned}$$

This leads to an estimation of the form

$$(3.7) \quad \|f T_t g\|_{\omega,p}^p \leq c_1 \|f g\|_{\omega,p}^p + c_2 \|g\|_{\omega,p}^p \|f\|_{\omega,p}^p,$$

where c_1, c_2 are positive constants independent of t . As in the proof of Lemma 3.2(iii), there exists a sequence $\{t_n\}$ in $[0, 1)$, tending to 1 such that $f T_{t_n} g$ tends weakly to $f g$ in $H_{\omega,p}$, hence $g f \in [f]$.

A function $f \in H_{\omega,p}$ is called a cyclic vector for M_z if $[f] = H_{\omega,p}$. From Lemma 3.1, we obtain

COROLLARY 3.3. *If $f, g \in H_{\omega,p}$, g is a cyclic vector for M_z and $|f(z)| \geq |g(z)|$ for all $z \in U$, then f is also cyclic.*

Proof. If $h = g/f$, then $h \in H^\infty$ and $h f = g$, i.e. $h f \in H_{\omega,p}$. Then by Lemma 3.1, $g \in [f]$, which shows that f is cyclic. $\square$

Remark. The above result remains true if $H_{\omega,p}$ is replaced by the Dirichlet space D , because Lemma 3.1 holds for this space as well, with the same proof. This problem was raised for general Banach spaces of analytic functions by L. Brown and A. Shields [2, Question 3].

The main result of this section is

THEOREM 3.4. *Let $M \neq \{0\}$, $N \neq \{0\}$ be invariant subspaces for the operator M_z on $H_{\omega,p}$. Then (i) $M \cap H^\infty \neq \{0\}$ and (ii) $M \cap N \neq \{0\}$.*

Proof. (i) Let $f \in M$, $f \neq 0$. By Theorem 2.6 there exist functions $g, h \in H^\infty \cap H_{\omega,p}$ such that $f = g/h$ and, by Lemma 3.1, $g = hf \in [f] \subset M$. (ii) If $g \in M$, $h \in N$, $g, h \neq 0$ are bounded then $gh \in [g] \cap [h] \subset M \cap N$. $\square$

Theorem 3.4(ii) states that the operator M_z on $H_{\omega,p}$ is cellular indecomposable and answers affirmatively Conjecture 1 of [4]. As it was pointed out in [4] this implies the fact that each nontrivial invariant subspace M of M_z has the codimension one property that is, $(z - \lambda)M$ is a closed subspace of M having codimension 1 in M , for every $\lambda \in U$. This follows from results obtained by S. Richter in [3] and Theorem 3.4. Indeed, if M is such a subspace and $f, g \in M \setminus \{0\}$, then $[f] \cap [g] \neq \{0\}$ by Theorem 3.4 and by [3, Corollaries 3.12 and 3.15] M has the codimension one property.

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